

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

| | |
|------------------------|-------------------------|
| Application No. | 09/635,345 |
| Filing Date | August 9, 2000 |
| First Named Inventor | Albert K. Chin |
| Art Unit | 3738 |
| Examiner Name | Corrine Marie McDermott |
| Attorney Docket Number | 80121-07179 |

Sheet

1

of

1

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document No. Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|--|--------------------|--|
| WM/ | A1 | US-6,835,193 | 12-28-2004 | Epstein et al. |
| | A2 | US-6,315,778 | 11-13-2001 | Gambale et al. |
| | A3 | US-6,068,621 | 05-30-2000 | Balceta et al. |
| | A4 | US-6,048,337 | 04-11-2000 | Svedman |
| | A5 | US-5,700,275 | 12-23-1997 | Bell et al. |
| | A6 | US-5,630,813 | 05-20-1997 | Kieturakis |
| | A7 | US-5,490,819 | 02-13-1996 | Nicholas et al. |
| | A8 | US-5,397,304 | 03-14-1995 | Truckai |
| | A9 | US-5,330,502 | 07-19-1994 | Hassler et al. |
| | A10 | US-4,961,738 | 10-09-1990 | Mackin |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|----------------|
| | | | | | |
| | | | | | |
| | | | | | |

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
|--------------------|-----------------------|--|----------------|
| | | | |
| | | | |
| | | | |

| | | | |
|--------------------|-------------------|-----------------|------------|
| Examiner Signature | William Matthews/ | Date Considered | 09/16/2007 |
|--------------------|-------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

| | |
|------------------------|---------------------|
| Application No. | 09/635,345 |
| Filing Date | August 9, 2000 |
| First Named Inventor | Albert K. Chin |
| Art Unit | 3738 |
| Examiner Name | William H. Matthews |
| Attorney Docket Number | 23488-07179 |

Sheet

1

of

1

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document No. Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|--|--------------------|--|
| /WM/ | A1 | US-5,667,472 | 09-16-1997 | Finn et al. |
| ↓ | A2 | US-5,453,094 | 09-26-1995 | Metcalfe et al. |
| | A3 | US-4,141,365 | 02-27-1979 | Fischell et al. |
| | A4 | US-3,763,806 | 10-09-1973 | Shuffield |
| | | | | |
| | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|----------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
|--------------------|-----------------------|--|----------------|
| /WM/ | C1 | PCT International Search Report and Written Opinion, PCT/US04/22137, July 9, 2007, 8 pages. | |
| | | | |
| | | | |
| | | | |
| | | | |

Examiner
Signature

/William Matthews/

Date

Considered

09/16/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/07179/DOCS/1782805.1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

| | |
|------------------------|---------------------|
| Application No. | 09/635,345 |
| Filing Date | August 9, 2000 |
| First Named Inventor | Albert K. Chin |
| Art Unit | 3738 |
| Examiner Name | William H. Matthews |
| Attorney Docket Number | 80121-07179 |

Sheet

1

of

1

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document No. Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|--|--------------------|--|
| WM/ | A1 | US-6,851,722 | 02-08-2005 | Chiu et al. |
| | A2 | US-6,530,933 | 03-11-2003 | Yeung et al. |
| | A3 | US-6,461,333 | 10-08-2002 | Frezza |
| | A4 | US-2001/0047170 A1 | 11-29-2001 | Branco |
| | A5 | US-6,007,522 | 12-28-1999 | Agro et al. |
| | A6 | US-5,489,256 | 02-06-1996 | Adair |
| | A7 | US-5,313,962 | 05-24-1994 | Obenchain |
| | A8 | US-5,282,811 | 02-01-1994 | Booker et al. |
| | A9 | US-5,135,501 | 08-04-1992 | Cameron |
| | A10 | US-4,572,548 | 02-25-1986 | Porowski et al. |
| | A11 | US-4,142,528 | 03-06-1979 | Whelan et al. |
| | A12 | US-3,877,491 | 04-15-1975 | Thastrup |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|----------------|
| ↓ | B1 | WO 00/40159 A1 | 07-13-2000 | Yeung et al. | |
| | | | | | |

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
|--------------------|-----------------------|--|----------------|
| | | | |
| | | | |

| | | | |
|--------------------|--------------------|-----------------|------------|
| Examiner Signature | /William Matthews/ | Date Considered | 10/15/2007 |
|--------------------|--------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

80121/07179/DOCS/1803893.1